

FEB 15 2002

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TECH CENTER 1600/2900



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete If Known

Application Number	09/939,406
Filing Date	August 24, 2001
First Named Inventor	B. Dolitzky
Examiner Name	E. Bernhardt
Group / Art Unit	1624
Attorney Docket No.	1662/49603

TOTAL AMOUNT OF PAYMENT (\$ 72.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

11-0600

Deposit
Account
Name

Kenyon & Kenyon

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
54	-50 ** = 4	X 18 = 72	
Independent Claims	4	-5 ** = 0	X 84 = 0
Multiple Dependent		X	= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 72.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	W. David Wallace	Registration No. Attorney/Agent)	42,210	Telephone	202-220-4415
Signature				Date	02/11/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No. 1662/49603
09/939,406

#3/A
2/17/02
C. Styles

TECH CENTER 1600/2900

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : B. DOLITZKY
Application Serial No.: 09/939,406
Filing Date : August 24, 2001
For : NOVEL SYNTHESIS OF PIPERAZINE RING

RESPONSE TO OFFICE ACTION

ASSISTANT COMMISSIONER
FOR PATENTS
Washington, DC 20231

Sir:

Responsive to the Office Action dated October 10, 2001, Applicant respectfully requests a one-month extension of time to February 10, 2002 to respond to this Office Action. Please charge Deposit Account No. 11-0600 for any fees associated with this filing.

IN THE SPECIFICATION

Page 1, paragraph 1:

Please substitute the following paragraph:

A1 Sub B1 This application claims the benefit under 35 U.S.C. § 119(e) of U.S. Provisional application, Serial No. 60/130,048, filed April 19, 1999. This application is a continuation of application, Serial No. 09/939,406, filed April 19, 2001. This application is a continuation of application serial number 09/545,011, filed April 7, 2000.

02/19/2002 CSTYLES 00000002 110600 09939406
01 FC:115 110.00 CH

Page 2, paragraph 1:

Please substitute the following paragraph:

A2 Sub I: B2 The present invention relates to a novel process for preparing a compound of the formula

02/13/2002 MBERHE 00000037 110600 09939406
01 FC:103 72.00 CH